


FORM PTO-1390 (REV. 07-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		EXPRESS MAIL NUMBER EV 642 787 908 US	ATTORNEY'S DOCKET NUMBER 036179/US/2 - 475387-00030
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/577562 <i>To be assigned</i>	
INTERNATIONAL APPLICATION NO. PCT/US2004/029148		INTERNATIONAL FILING DATE September 8, 2004		PRIORITY DATE CLAIMED October 27, 2003	
TITLE OF INVENTION METHOD AND APPARATUS FOR PERFORMING OPTICAL IMAGING USING FREQUENCY-DOMAIN INTERFEROMETRY					
APPLICANT(S) FOR DO/EO/US SEOK-HYUN YUN, BRETT EUGENE BOUMA, GUILLERMO J. TEARNEY and JOHANNES FITZGERALD DE BOER					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> had been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 					
Items 11 to 20. below concern document(s) or information included:					
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: PTO-1449 form, Amendment Under Article 34 and Reply to PCT Written Opinion 					
Substitute Sheets of Claims filed pursuant to PCT Article, Written Opinion, International Publication No. WO 2005/047813, International Search Report and Return Receipt Postcard					

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) 10/577562		INTERNATIONAL APPLICATION NO. PCT/US2004/029148		ATTORNEY'S DOCKET NUMBER 036179/US/2 - 475387-30	
21. The following fees have been submitted:				CALCULATIONS	PTO USE ONLY
<input checked="" type="checkbox"/> a) Basic national fee (37 CFR 1.492(a)).....				\$ 300	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4).....				\$0.00	
All other situations.....				\$200.00	\$ 200
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)				\$0.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....				\$100.00	
International Search Report prepared and provided to the Office				\$400.00	
All other situations				\$500.00	\$ 400
TOTAL OF 21, 22 and 23 =				\$ 900	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE	
- 100	/50 =			x \$250.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	95 -20 =	75	x	\$50.00	\$ 3,750
Independent claims	14 -3 =	11	x	\$200.00	\$ 2,200
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$360.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$6,850	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclose assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 40	
TOTAL FEES ENCLOSED =				\$ 6,890	
				Amount to be refunded:	\$
				charged:	\$

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/577562</div>	INTERNATIONAL APPLICATION NO. <div style="text-align: center;">PCT/US2004/029148</div>	ATTORNEY'S DOCKET NUMBER <div style="text-align: center;">036179/US/2 - 475387-30</div>
<p>a. <input checked="" type="checkbox"/> A check in the amount <u>\$6,890</u> to cover the above fees is enclosed. of</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>50-2054</u> in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-2054</u> A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>		
SEND ALL CORRESPONDENCE TO: CUSTOMER NUMBER 30873		
<div style="display: flex; justify-content: space-between;"> <div style="width: 35%;"> <p>Dorsey & Whitney LLP Intellectual Property Department 250 Park Avenue New York, New York 10177</p> </div> <div style="width: 60%; border-top: 1px solid black; position: relative;"> <div style="position: absolute; top: -20px; left: 50%; transform: translate(-50%, -50%); font-size: 1.5em;">  </div> <div style="display: flex; justify-content: space-between; border-bottom: 1px solid black; padding-bottom: 5px;"> <div style="width: 60%;"> <p>Signature</p> <p>Gary Abelev, Esq.</p> </div> <div style="width: 35%; border-left: 1px solid black; padding-left: 5px;"> <p>Date April 27, 2006</p> </div> </div> <div style="border-bottom: 1px solid black; padding-bottom: 5px; margin-top: 5px;"> <p>Name</p> <p>40,479</p> </div> <div style="margin-top: 5px;"> <p>Registration Number</p> </div> </div> </div>		